

ANNEXURE- B

Formats for investors complaints data to be disclosed monthly by Registered Investment Advisors (RIAs) on their website / mobile application:

Data for the month ending August, 2026

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April, 2021	-	-	-	-
2	May, 2021	-	-	-	-
3	June, 2021	-	-	-	-
4	July, 2021	-	-	-	-
5	August, 2021	-	-	-	-
6	September, 2021	-	-	-	-
7	October, 2021	-	-	-	-
8	November, 2021	-	-	-	-
9	December, 2021	-	-	-	-
10	January, 2022	-	-	-	-
11	February, 2022	-	-	-	-
12	March, 2022	-	-	-	-
13	April, 2022	-	-	-	-
14	May, 2022	-	-	-	-
15	June, 2022	-	-	-	-
16	July, 2022	-	-	-	-
17	August, 2022	-	-	-	-
18	September, 2022	-	-	-	-
19	October, 2022	-	-	-	-
20	November, 2022	-	-	-	-

21	December, 2022	-	-	-	-
22	January, 2023	-	-	-	-
23	February, 2023	-	-	-	-
24	March, 2023	-	-	-	-
25	April, 2023	-	-	-	-
26	May, 2023	-	-	-	-
27	June, 2023	-	-	-	-
28	July, 2023	-	-	-	-
29	August, 2023	-	-	-	-
30	September, 2023	-	-	-	-
31	October, 2023	-	-	-	-
32	November, 2023	-	-	-	-
33	December, 2023	-	-	-	-
34	January, 2024	-	-	-	-
35	February, 2024	-	-	-	-
36	March, 2024	-	-	-	-
37	April, 2024	-	-	-	-
38	May, 2024	-	-	-	-
39	June, 2024	-	-	-	-
40	July, 2024	-	-	-	-
41	August, 2024	-	-	-	-
42	September, 2024	-	-	-	-
43	October, 2024	-	-	-	-
44	November, 2024	-	-	-	-
45	December, 2024	-	-	-	-
46	January, 2025	-	-	-	-
47	February, 2025	-	-	-	-
48	March, 2025	-	-	-	-
49	April, 2025	-	-	-	-
50	May, 2025	-	-	-	-
51	June, 2025	-	-	-	-
52	July, 2025	-	-	-	-
53	August, 2025	-	-	-	-
54	September, 2025	-	-	-	-
55	October, 2025	-	-	-	-
56	November, 2025	-	-	-	-
57	December, 2025	-	-	-	-
58	January, 2026	-	-	-	-
59	February, 2026	-	-	-	-
60	March, 2026	-	-	-	-
61	April, 2026	-	-	-	-
62	May, 2026	-	-	-	-
63	June, 2026	-	-	-	-
64	July, 2026	-	-	-	-
65	August, 2026	-	-	-	-
	Grand Total	-	-	-	-

*Inclusive of complaints of previous months resolved in the current month.

Trend of annual disposal of complaints

S. No.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2020-21	-	-	-	-
2	2021-22	-	-	-	-
3	2022-23	-	-	-	-
4	2023-24	-	-	-	-
5	2024-25	-	-	-	-
6	2025-26	-	-	-	-
	Grand Total				

*Inclusive of complaints of previous years resolved in the current year.